

## Message Text

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ACTION TRSE-00

INFO OCT-01 EA-10 ISO-00 SP-02 AID-05 EB-07 NSC-05 CIEP-02

SS-15 STR-04 OMB-01 CEA-01 CIAE-00 COME-00 FRB-01

INR-07 NSAE-00 USIA-15 XMB-04 OPIC-06 LAB-04 SIL-01

L-03 H-02 PRS-01 PA-02 IO-10 NEA-10 /119 W

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P 300417Z MAY 75

FM AMEMBASSY MANILA

TO SECSTATE WASHDC PRIORITY 3960

UNCLAS SECTION 1 OF 2 MANILA 7407/1

USADB

PASS TREASURY FOR GONZALEZ, OASIA

E.O. 11652: NA

TAGS: EAID, EFIN

SUBJECT: PASSMAN HEARINGS - PART III OF III

1. FOLLOWING ARE RESPONSES TO QUESTIONS SUBMITTED BY CLARENCE LONG. (NOTE IN PARTICULAR ANSWER TO QUESTION 9, PARA. 14, WHICH MAY WELL NEED MODIFICATION.)

2. QUESTION 1. COULD YOU GIVE MORE EXAMPLES OF USE OF LIGHT CAPITAL OR INTERMEDIATE TECHNOLOGY.

ANSWER: IN ADDITION TO EXAMPLE GIVEN IN MY LETTER, I WISH TO REFER TO TWO ADDITIONAL PROJECTS APPROVED BY ADB IN 1974 IN WHICH LIGHT OR INTERMEDIATE TECHNOLOGY WAS EMPLOYED. FIRST OF ALL, IN THE CASE OF A FIBER PROCESSING PROJECT IN INDONESIA, BANK DECIDED THAT, RATHER THAN IMPORT HIGH TECHNOLOGY EQUIPMENT FOR PRODUCTION PLANT, IT WAS PREFERABLE TO USE LOCAL LABOR TO REHABILITATE OLD, RATHER LABOR-INTENSIVE PRODUCTION MACHINERY, WHICH WOULD MAKE THE PRODUCTION MILL SERVICEABLE.

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IN ADDITION, IN A BANGLADESH JUTE SEED PROJECT APPROVED

LATE IN 1974 BANK PROVIDED THAT AN EXPERIENCED MECHANICAL ENGINEER FAMILIAR WITH JUTE PRODUCTION IN ASIA BE RETAINED TO ASSIST IN DESIGNING A SUITABLE SEEDER FOR JUTE. OPTION WAS TO USE A MULTI-PURPOSE ROW SEEDER WHICH COULD EASILY BE IMPORTED FOR THAT PURPOSE. HOWEVER, BANK FELT THAT IT WAS FAR PREFERABLE TO FOCUS ON PARTICULAR PROBLEM OF DEVELOPING A JUTE SEEDER AND HAS, THEREFORE, MADE PROVISIONS TO UTILIZE THE EXPORT SERVICES OF AN INDIVIDUAL EXPERIENCED WITH THIS TYPE OF TECHNOLOGY IN SOUTHEAST ASIA.

3. QUESTION 2. FIND OUT IF THERE IS A PRACTICAL TECHNOLOGY FOR SMALL-SCALE METHANE PLANTS DEVELOPED AT THIS TIME. IF NOT, WHAT STEPS DO YOU FEEL CAN BE TAKEN TO ENCOURAGE THEIR DEVELOPMENT?

ANSWER: THERE IS CONSIDERABLE ATTENTION IN ASIA GIVEN TO DEVELOPMENT OF SMALL-SCALE METHANE PLANTS WHICH MAY EITHER BE USED FOR THE PURPOSES OF PROVIDING FUEL ON SMALL INDIVIDUAL FARMS OR FOR LIGHT INDUSTRIAL PURPOSES. IN PARTICULAR ECONOMIC AND SOCIAL COUNCIL FOR ASIA AND PACIFIC (ESCAP) HAS A METHANE OR BIO-GAS PROJECT CURRENTLY UNDER STUDY AND REVIEW. IN APRIL OF THIS YEAR AN ESCAP MISSION, LED BY MR. M.S. HAERI, VISITED TAIWAN, JAPAN, KOREA AND PHILIPPINES TO DISCUSS THE BENEFITS OF FURTHER DEVELOPMENT OF THIS TYPE OF TECHNOLOGY. MR. HAERI HAS SCHEDULED TWO REGIONAL WORKSHOPS IN WHICH ALL INTERESTED COUNTRIES AND INSTITUTIONS SUCH AS ADB WOULD BE INVITED TO PARTICIPATE. THE FIRST IS SCHEDULED TO BE HELD IN INDIA IN JULY AND SECOND IN PHILIPPINES IN FALL OF THIS YEAR. BANK IS AWARE OF THESE PROPOSED SEMINARS AND HAS TAKEN A VERY POSITIVE ATTITUDE ABOUT THE PROJECT ITSELF. I MIGHT ADD THAT AN ACKNOWLEDGED EXPERT ON THIS TYPE OF TECHNOLOGY IN REGION IS MR. CHUNG PO, A SPECIALIST IN ANIMAL INDUSTRY DIVISION JOINT COMMISSION ON RURAL RECONSTRUCTION, TAIPEI, REPUBLIC OF CHINA. THE BANK HAS BEEN IN TOUCH WITH MR. PO, AND I LOOK FORWARD IN PARTICULAR TO BANK'S REACTION TO THIS TYPE OF TECHNOLOGY AND ANY EFFORTS ON ITS PART TO EMPLOY THE TECHNOLOGY AS ONE ASPECT

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OF ITS INCREASINGLY NUMEROUS INTEGRATED AGRICULTURAL DEVELOPMENT PROJECTS.

4. QUESTION 3. WITH RESPECT TO ADB AGRICULTURAL PROJECTS, COULD YOU PROVIDE A CROSS SECTION OF TYPE OF LAND HOLDINGS INVOLVED?

ANSWER: RECENTLY BANK HAS APPROVED SEVERAL AGRICULTURAL

LOANS IN WHICH ONE BASIC OBJECTIVE OF PROJECTS IS THAT OF RESETTLING TENANT FARMERS ON LAND WHICH THEY MAY ULTIMATELY OWN. IN THE CASE OF PAHANG TENGGARA PROJECT IN MALAYSIA, WHICH WAS APPROVED IN DECEMBER 1974, EIGHTY THOUSAND WORKERS CURRENTLY LANDLESS OR HAVING UNECONOMICALLY SMALL LANDHOLDINGS, WILL BE RESETTLED ON SMALL-SCALE OIL PALM, RUBBER, FOREST ESTATES, PRESENTLY OWNED BY GOVERNMENT, IN WHICH BASIC INFRASTRUCTURE SUCH AS TREATED WATER, HEALTH SERVICES, ETC. WILL BE PROVIDED. A PORTION OF INCOME OBTAINED FROM CULTIVATION OF ESTATES BY RESETTLED WORKERS WILL BE APPLIED TO LAND OWNERSHIP IN ANTICIPATION THAT THE RESETTLED WORKERS WILL, OVER A SEVEN YEAR PERIOD, OBTAIN FULL OWNERSHIP OF LAND WHICH WILL VARY IN SIZE FROM ONE TO THREE HECTARES. A SIMILAR PROJECT WAS APPROVED BY BANK IN AUGUST 1974 IN SABAH IN MALAYSIA IN WHICH 4,300 TENANT FARMERS WILL BE PROVIDED WITH HOUSING, TREATED WATER, ETC. IN A NEW DEVELOPMENT AREA, AGAIN TO CULTIVATE TIMBER AND OIL PALM ON TEN ACRE PUBLIC LOTS. EACH SETTLER ALSO ASSIGNED ONE-QUARTER ACRE LOT FOR HOUSE AND VEGETABLE GARDEN. LAND IN QUESTION WILL BE PROGRESSIVELY TURNED OVER TO WORKERS ON SAME BASIS AS MENTIONED IN PREVIOUS PROJECT. AS A FINAL EXAMPLE, IN NOVEMBER 1974 BANK APPROVED AN EAST JAVA AGRICULTURAL CREDIT IN INDONESIA. PROCEEDS OF PROJECT LOAN TO BE CHANNELED THROUGH COOPERATIVES TO PROVIDE FINANCING FOR PUMP SETS, RUDIMENTARY FARM MACHINERY, ETC. FOR 30 THOUSAND SMALL FARM FAMILIES OWNING INDIVIDUAL PLOTS AVERAGING TWO HECTARES IN SIZE. IN ADDITION TO FINANCING FOR EQUIPMENT, PROJECT WILL ESTABLISH WORKSHOPS AND TRAINING FACILITIES AS WELL AS PROVIDE ADVISORY SERVICES TO STRENGTHEN COOPERATIVES AND HENCE SERVICES WHICH COOPERATIVES

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CAN PROVIDE SMALL LANDHOLDERS. INCOME OF SMALL FARMERS EXPECTED TO INCREASE 20 PERCENT AS RESULT OF PROJECT.

5. ABOVE PROJECTS TYPICAL OF ADB EFFORTS IN FIELD OF AGRICULTURE. SMALL FARMER IS DEFINITELY TARGET OF BANK'S EFFORTS AND WILL BENEFIT DIRECTLY THROUGH FINANCING TO ALLOW LAND OWNERSHIP AND ACCESS TO RELATED INPUTS NECESSARY FOR INCREASE IN PRODUCTIVITY.

6. QUESTION 4. DO YOU INSIST THAT FERTILIZER PROJECTS INCLUDE MARKETING ARRANGEMENTS WHEREBY SMALL FARMERS WILL BE ABLE TO BUY FERTILIZER?

ANSWER: BANK HAS SHOWN GREAT CONCERN WITH THIS

PARTICULAR ISSUE AND HAS INCORPORATED CONDITIONS TO ASSURE THAT SMALL FARMER IS PROVIDED ACCESS TO FERTILIZER EITHER IN LOAN COMPONENTS PROPER OR IN RELEVANT SIDE LETTERS OF FERTILIZER PROJECTS WHICH IT HAS FINANCED. FOR EXAMPLE, IN MULTAN FERTILIZER PROJECT BANK WAS GREATLY CONCERNED THAT EXISTING FERTILIZER AND MARKETING SYSTEM BENEFITED ONLY LARGER PRODUCERS. CONSEQUENTLY, BANK HAS INCORPORATED IN A SIDE LETTER PROVISIONS TO THE EFFECT THAT BORROWER MUST THROUGH EXAMPLE DEMONSTRATE TO SMALL FARMER THE BENEFITS FROM UTILIZING PHOSPHATE FERTILIZERS. IN ADDITION, BORROWER HAS AGREED TO ESTABLISH AN ADEQUATE NETWORK FOR MARKETING AND DISTRIBUTION OF FERTILIZER WITH PARTICULAR REFERENCE TO SMALL FARMER. IN ADDITION THE BORROWER HAS AGREED TO EXPAND ITS AGRICULTURAL CREDIT PROGRAM FOR VERY PURPOSE OF PROVIDING SMALL FARMER WITH MEANS TO PURCHASE FERTILIZER WHICH WILL BE EQUITABLY DISTRIBUTED UNDER THE NEW MARKET DISTRIBUTION SYSTEM. BANK-FINANCED UREA FERTILIZER PROJECT IN INDONESIA, JOINTLY FINANCED WITH WORLD BANK, USAID, AND OCEF, INCORPORATES SIMILAR PROVISIONS. WITH RESPECT TO A RECENTLY APPROVED FERTILIZER PROJECT FOR BANGLADESH, AGAIN ON A JOINTLY FINANCED BASIS INVOLVING WORLD BANK, NO ADEQUATE MARKETING SYSTEM CURRENTLY EXISTS. HOWEVER, AT BANK'S INSISTENCE A STUDY OF CURRENT MARKET AND DISTRIBUTION SYSTEM IS UNCLASSIFIED

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UNDER WAY IN WHICH BOTTLENECKS AND CONSTRAINTS WILL BE IDENTIFIED AND REMOVED PRIOR TO COMPLETION OF FERTILIZER PROJECT ITSELF. HENCE BANK IS GREATLY CONCERNED THAT FERTILIZER PRODUCED FROM BANK FUNDED PROJECTS BE DISTRIBUTED WHERE IT WILL HAVE MAXIMUM IMPACT, I.E. TO SMALL FARMER.

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INFO OCT-01 EA-10 ISO-00 SP-02 AID-05 EB-07 NSC-05 CIEP-02

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L-03 H-02 PRS-01 PA-02 IO-10 NEA-10 /119 W

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P 300417Z MAY 75

FM AMEMBASSY MANILA

TO SECSTATE WASHDC PRIORITY 3967

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7. QUESTION 4. PLEASE LIST FOR RECORD ALL ADB PROJECTS FOR 1974 AND 1975 TO DATE THAT ARE TO PROVIDE FARM TO MARKET ROADS. PLEASE INCLUDE PORTION OF LOAN GOING FOR FEEDER ROADS AND MILES OF FARM TO MARKET ROADS (OR FEEDER ROADS) TO BE CONSTRUCTED.

ANSWER: IN 1974 BANK APPROVED THREE LOANS FOR PREPARATION OF FARM TO MARKET ROAD TRANSPORTATION PROJECTS. IN INDONESIA BANK FINANCED A FEASIBILITY AND PRELIMINARY ENGINEERING STUDY TO DEVELOP A SYSTEM OF COLLECTOR ROADS IN SURABAYA AREA. AT THIS POINT ACTUAL EXTENT OF ROADS TO BE SO AFFECTED IS UNCLEAR BUT INITIAL ESTIMATES INDICATE ROUGHLY 1,500 KILOMETERS WILL BE INVOLVED IN PROJECT.

8. BANK APPROVED AS WELL AS \$450 THOUSAND LOAN IN KOREA FOR PREPARATION OF A FEASIBILITY STUDY AND EXECUTION OF DETAILED DESIGN FOR ABOUT 1,300 KILOMETERS OF FARM TO MARKET ROADS IN SOUTHERN PART OF COUNTRY. FINALLY, BANK APPROVED A \$270 THOUSAND LOAN TO INDONESIA, AGAIN FOR FEASIBILITY STUDY AND PREPARATION OF A PROGRAM TO REHABILITATE, RECONSTRUCT AND MAINTAIN 1,150 KILOMETERS OF PROVINCIAL ROADS AND 2,000 KILOMETERS OF FEEDER ROADS IN SEMARANG-  
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SURABAYA AREA.

9. THESE THREE LOANS WILL ULTIMATELY RESULT IN FOLLOW-ON CONSTRUCTION LOANS, PERHAPS IN LATE 1975, AMOUNTING COLLECTIVELY TO ROUGHLY \$75 MILLION. BANK EFFORTS IN THIS AREA INDICATE AN INCREASING TREND TO PROGRESSIVELY EMPHASIZE FARM TO MARKET AND FEEDER ROADS IN ADB TRANSPORTATION SECTOR.

10. QUESTION 6. (A) COULD YOU PROVIDE FOR THE RECORD

EXAMPLES OF CLAUSES IN LOAN AGREEMENTS THAT EARMARK  
LOANS FOR "SMALL AND MEDIUM-SIZED ENTERPRISES"?

(B) FOR LOANS FOR WHICH YOU PROVIDE SPECIFIC INFORMA-  
TION ON THIS EARMARKING, COULD YOU PROVIDE A DEFINITION  
OF "SMALL AND MEDIUM-SIZED ENTERPRISES"?

(C) WHAT MONITORING OF THESE PROJECTS DO YOU CARRY ON  
TO INSURE THAT RELENDING IS ACTUALLY BEING DONE TO  
"SMALL AND MEDIUM-SIZED ENTERPRISES"?

ANSWER: ADB LENDING TO MEDIUM INDUSTRY BANK IN KOREA  
IS DESIGNED TO PROVIDE CREDIT LINES EXCLUSIVELY FOR  
SMALL AND MEDIUM INDUSTRY AS DEFINED BY MEDIUM INDUSTRY  
BANK AND ACCEPTED BY ADB. TOTAL ASSETS OF FIRM  
APPLYING FOR SUB-LOAN MAY NOT EXCEED \$125 THOUSAND.  
IN ADDITION, NUMBER OF EMPLOYEES IN GENERAL RANGE  
BETWEEN FIVE AND TWO HUNDRED WHILE SUB-LENDING FOR  
CONSTRUCTION, COMMERCE AND SERVICES INDUSTRIES IS  
TARGETED FOR FIRMS WITH FIVE TO TWENTY EMPLOYEES.  
IN ANY CASE, TOTAL ASSETS OF FIRM CANNOT EXCEED FIGURE  
MENTIONED ABOVE.

11. AS IS CUSTOMARY WITH ALL ADB LENDING TO NATIONAL  
DEVELOPMENT BANKS, ADB MUST APPROVE ALL PROPOSED SUB-  
LOANS. IN THIS MANNER ADB IS ABLE TO MONITOR ALL  
OF MEDIUM INDUSTRY BANK'S SUB-LOANS TO ASSURE ITSELF  
THAT CONDITIONS OF ADB LOAN ARE IN FACT MET.

12. QUESTION 7. WHAT FURTHER PROGRESS HAS THERE BEEN  
ON ESTABLISHMENT OF AN INDEPENDENT REVIEW AND EVALUATION  
GROUP FOR ASIAN DEVELOPMENT BANK AS CALLED FOR IN  
FOREIGN ASSISTANCE ACT OF 1973 (SECTION 301 (E)(2))  
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OF THE FOREIGN ASSISTANCE ACT OF 1961, AS AMENDED)?

ANSWER: IN AUGUST 1974 ADB ESTABLISHED AN INDEPENDENT  
POST-PROJECT EVALUATION MECHANISM AT SPECIFIC URGING  
OF UNITED STATES. THE MECHANISM IN QUESTION DIFFERS  
SOMEWHAT FROM THAT EMPLOYED BY IBRD AND IDB. IT  
PROVIDES, HOWEVER, FOR BANK RETENTION OF INDEPENDENT  
OUTSIDE FIRMS TO CONDUCT POST-PROJECT EVALUATION  
OF PROJECTS SELECTED BY BANK'S BOARD OF DIRECTORS  
WHICH ALSO APPROVES TERMS OF REFERENCE FOR THE EVALUATION,  
AS WELL AS THE FIRM TO UNDERTAKE STUDY. AFTER COMPLETION  
OF EVALUATION, REPORT IS SUBMITTED DIRECTLY TO  
CHAIRMAN OF THE BOARD OF DIRECTORS WHO TRANSMITS IT  
TO ALL DIRECTORS WITHOUT COMMENT. FINALLY, RELEVANT  
BANK STAFF AFFECTED BY EVALUATION, AS WELL AS EXECUTING  
AGENCY IN THE BORROWING COUNTRY ARE ASKED TO COMMENT  
UPON THE REPORT AND REPORT, ITS RECOMMENDATIONS AND

SUGGESTIONS, ALONG WITH SOLICITED COMMENTS, ARE THEN CONSIDERED BY BANK'S BOARD OF DIRECTORS.

13. QUESTION 8. WHAT EVALUATIONS OF PROJECTS HAVE BEEN COMPLETED AS OF PRESENT?

ANSWER: IN NOVEMBER 1974 BOARD OF DIRECTORS APPROVED FOUR PROJECTS FOR INDEPENDENT EVALUATION AND HAS ACCORDINGLY APPROACHED THREE INDEPENDENT GROUPS -- ONE A U.S. FIRM - REGARDING THE EVALUATION EFFORTS WHICH WILL BE INITIATED IN VERY NEAR FUTURE. IN ADDITION TO OUTSIDE INDEPENDENT EVALUATION MECHANISM, BANK HAS CONDUCTED FOUR IN-HOUSE POST-PROJECT EVALUATION REVIEWS UNDER DIRECTION OF BANK'S CHIEF ECONOMIST, WHO IS A U.S. NATIONAL -- SEOUL INCHEON HIGHWAY PROJECT IN KOREA, THE SRI LANKA TEA FACTORY MODERNIZATION PROJECT, INDUSTRIAL FINANCE CORPORATION OF THAILAND PROJECT, AND TADJUNG IRRIGATION PROJECT IN INDONESIA.

14. QUESTION 9. CAN YOU SUBMIT TO THIS COMMITTEE COPIES OF ANY OF COMPLETED EVALUATIONS AND A LIST OF EVALUATIONS COMPLETED AND IN PROGRESS?

ANSWER: WE WOULD BE HAPPY TO SUBMIT TO COMMITTEE UNCLASSIFIED

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COPIES OF COMPLETED EVALUATIONS AS WELL AS LIST OF EVALUATIONS IN PROGRESS. IN FACT, U.S. DIRECTOR HAS SUPPLIED COPIES OF COMPLETED EVALUATIONS TO MR. GENE WOHLHORN, ASSISTANT DIRECTOR OF GAO, FOR HIS PERUSAL. MR. WOHLHORN DID, HOWEVER, INDICATE THAT HE WOULD COORDINATE WITH U.S. TREASURY DEPT. REGARDING ANY FURTHER DISSEMINATION OF DOCUMENTS THEMSELVES.

15. QUESTION 10. WHAT PORTION OF YOUR TOTAL AGRICULTURAL LENDING IN 1974 WAS AIMED DIRECTLY AT HELPING REALLY POOR IN ASIA?

ANSWER: TO BEST OF MY KNOWLEDGE AND UNDERSTANDING, ALL OF AGRICULTURAL PROJECTS APPROVED BY BANK IN 1974 WERE DIRECTED TOWARD HELPING REALLY POOR IN ASIA. AS I BELIEVE I MENTIONED, 26.5 PERCENT OF ALL BANK LENDING IN 1974 WAS IN FIELD OF AGRICULTURE. THAT PERCENTAGE WILL INCREASE IN 1975. CONSEQUENTLY, I CONCLUDE THAT BANK FULLY RECOGNIZED THE OVERWHELMING IMPORTANCE OF DIRECTLY ASSISTING POOREST OF POOR IN ITS BORROWING MEMBER COUNTRIES IN ASIA.  
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## Message Attributes

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**Capture Date:** 01 JAN 1994  
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**Disposition Case Number:** n/a  
**Disposition Comment:**  
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